#### PATENT COOPERATION TREATY

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

| Applicant's or agent's file reference PHCN030051WO                             | FOR FURTHER ACTION   | See item 4 below   |
|--|--|--|
| International application No. PCT/IB2004/052317                                | International filing date (day/month/year) 05 November 2004 (05.11.2004) | Priority date (day/month/year) 10 November 2003 (10.11.2003) |
| International Patent Classification (8th<br>See relevant information in Form F | n edition unless older edition indicated) PCT/ISA/237                    |  |
| Applicant<br>KONINKLIJKE PHILIPS ELECTRO                                       | DNICS N.V.   | ·  |

This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a).

| 2.                               | This REPORT consists of a total  | of 7 sheets, including this                           | cover sheet.   |  |
|----------------------------------|--|---|--|--|
|                                  | In the attached sheets, any refere<br>to the international preliminary r |   | of the International Searching Authority should be read as a reference opter I) instead.   |  |
| 3.                               | This report contains indications   | relating to the following ite                         | ms:  |  |
|                                  | Box No. I  | Basis of the report                                   |  |  |
|                                  | Box No. II   | Priority  |  |  |
|                                  | Box No. III  | Non-establishment of op<br>applicability              | inion with regard to novelty, inventive step and industrial  |  |
|                                  | Box No. IV   | Lack of unity of invention                            | on   |  |
|                                  | Box No. V  |   | er Article 35(2) with regard to novelty, inventive step or industrial and explanations supporting such statement                             |  |
|                                  | Box No. VI   | Certain documents cited                               |  |  |
|                                  | Box No. VII  | Certain defects in the international application      |  |  |
|                                  | Box No. VIII   | Certain observations on the international application |  |  |
|                                  |  |   | •  |  |
| 4.                               |  |   | signated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but der Article 23(2), before the expiration of 30 months from the priority |  |
|                                  | date (Rule 44bis .2).  |   |  |  |
|                                  |  |   |  |  |
|                                  |  |   | Date of issuance of this report 15 May 2006 (15.05.2006)   |  |
| The International Bureau of WIPO |  |   | Authorized officer   |  |
|                                  | 34, chemin des Colo<br>1211 Geneva 20, Sw                                |   | Cecile Chatel  |  |
| Facsin                           | nile No. +41 22 740 14 35  | •   | Telephone No. +41 22 338 70 60   |  |
| Form P                           | CT/IB/373 (January 2004)   |   | -  |  |

### PATENT COOPERATION TREATY

REC'D 0 9 MAY 2005 WIPO -

| NTERNATIONAL SEA                              | ARCHING AUTHO  | ORITY  |   |  |         |
|---|--|--|---|--|---------|
| То:   |  |  |   | PCT  |         |
| see form                                      | PCT/ISA/220  | 14/5   | INTERNATION   | TEN OPINION OF THE<br>NAL SEARCHING AUTHOF<br>PCT Rule 43 <i>bis</i> .1)   | RITY    |
| ·   |  |  | Date of mailing (day/month/year) see                | e form PCT/ISA/210 (second sheet)  |         |
| Applicant's or agent's fill see form PCT/ISA/ |  |  | FOR FURTHER A<br>See paragraph 2 below              |  | _       |
| International application PCT/IB2004/05231    |  | International filing date (c 05.11.2004                      | day/month/year)                                     | Priority date (day/month/year)<br>10.11.2003   |         |
|   |  | both national classification                                 | and IPC   |  |         |
| H04Q7/38, H04L12                              | 2/56<br>   |  | <u> </u>  |  |         |
| Applicant KONINKLIJKE PH                      | LIBO EL ECTRO  | ONICE NI V   |   |  |         |
|   | LIPS ELECTRO   | ONICS IV.V.  |   |  |         |
|   |  |  |   |  |         |
| 1. This opinion of                            | ontains indication   | ons relating to the follo                                    | owing items:  |  |         |
| Box No. I                                     | Basis of the op  | oinion   |   | ·  |         |
| ☐ Box No. II                                  | Priority   |  |   | <b>-</b> .   |         |
| ☐ Box No. III                                 | Non-establishr   | ment of opinion with rega                                    | ard to novelty, inventiv                            | e step and industrial applicability  |         |
| ☐ Box No. IV                                  | Lack of unity o  |  |   |  | -       |
| ☑ Box No. V                                   | Reasoned stat<br>applicability; ci                         | tement under Rule 43 <i>bis</i><br>itations and explanations | :.1(a)(i) with regard to<br>s supporting such state | novelty, inventive step or industrial ement  |         |
| ☐ Box No. VI                                  | Certain docum  |  |   |  |         |
| ☐ Box No. VII                                 |  | s in the international app                                   | ·   |  |         |
| ☐ Box No. VII                                 | Certain observ   | ations on the internation                                    | nal application                                     |  |         |
| 2. FURTHER AC                                 | <b>TION</b>  |  |   | •  |         |
| written opinion<br>the applicant cl           | of the Internation<br>nooses an Author<br>nreau under Rule | ial Preliminary Examining<br>rity other than this one to     | g Authority ("IPEA"). F<br>be the IPEA and the      | usually be considered to be a<br>lowever, this does not apply where<br>chosen IPEA has notifed the<br>tlonal Searching Authority |         |
| submit to the IF                              | PEA a written repl<br>e date of mailing                    | ly together, where appro                                     | priate, with amendme                                | PEA, the applicant is invited to<br>nts, before the expiration of three<br>of 22 months from the priority date,                  |         |
| For further opti                              | ons, see Form PC   | CT/ISA/220.  | •   |  |         |
| 3. For further deta                           | ils, see notes to  | Form PCT/ISA/220.  | ·   | ·  | -       |
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|   |  |  | I A AL  |  |         |
| Name and mailing addr                         | ess of the ISA:  |  | .Authorized_Officer                                 | net*   | Siegie- |



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## WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/IB2004/052317

|    | Boy   | No.             | . I Basis of the opinion   |      |
|----|-------|-----------------|--|------|
| 1. | Witl  | n rega          | pard to the language, this opinion has been established on the basis of the international application is uage in which it was filed, unless otherwise indicated under this item.   | n    |
|    |       | lang            | s opinion has been established on the basis of a translation from the original language into the follow guage , which is the language of a translation furnished for the purposes of international search der Rules 12.3 and 23.1(b)).   | ing  |
| 2. | With  | h rega<br>essar | pard to any nucleotide and/or amino acid sequence disclosed in the international application and ary to the claimed invention, this opinion has been established on the basis of:  |      |
|    | a. ty | ype of          | of material:   |      |
|    | [     | ⊐ a             | a sequence listing   |      |
|    | [     | ⊐ ta            | table(s) related to the sequence listing   |      |
|    | b. fo | ormat           | at of material:  |      |
|    | [     | ⊐ ir            | in written format  |      |
|    | (     | □ ir            | in computer readable form  |      |
|    | c. ti | me o            | of filing/furnishing:  |      |
|    | [     | □ c             | contained in the international application as filed.   |      |
|    | [     | □ fi            | filed together with the international application in computer readable form.   | ·,   |
|    | ī     | □ fi            | furnished subsequently to this Authority for the purposes of search.   |      |
| 3. | Ö     | has<br>copi     | addition, in the case that more than one version or copy of a sequence listing and/or table relating the been filed or furnished, the required statements that the information in the subsequent or additional pies is identical to that in the application as filed or does not go beyond the application as filed, as propriate, were furnished. | reto |
| 4. | Ado   | dition          | nal comments:  |      |

## WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/IB2004/052317

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

3,4,7-10,13,14,16-19

No: Claims

1,2,5,6,11,12,15

Inventive step (IS)

Yes: Claims

No: Claims

1-19

Industrial applicability (IA)

Yes: Claims

1-19

No: Claims

2. Citations and explanations

see separate sheet

#### Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1 Reference is made to the following documents:

D1: US 2002/085520 A1 (SYDON UWE ET AL) 4 July 2002 (2002-07-04)

D2: US 2003/181208 A1 (LOBINGER ANDREAS ET AL) 25 September 2003 (2003-09-25)

D3: US-B1-6 459 690 (LE STRAT EVELYNE ET AL) 1 October 2002 (2002-10-01)

- The present application does not meet the criteria of Article 6 PCT, because the subject-matter of claims 1,7,9,10,11,16,18,19 is not clear.
- 2.1 The expressions "P2P" used in claims 1,7,9,10,11,18 and 19 is vague and unclear and leaves the reader in doubt as to the meaning of the technical features to which it refers, thereby rendering the definition of the subject-matter of said claims unclear, Article 6 PCT. In the following, said expression will be interpreted as in the description (page 1, line 16 page 2, line 2).
- 2.2 The definition of the User Equipment, included between brackets in claims 1,7 and 16 is unclear since it can be interpreted as referring to the drawings. In the following, said definition will be interpreted as if an alternative formulation had been used (ex. "User Equipment, hereinafter referred to as UE").
- The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of claims 1,2,5,6,11,12 and 15 is not new in the sense of Article 33(2) PCT.
- 3.1 Using the wording of claim 1, document D1 discloses (the references in parentheses applying to this document):
  - "A method for mitigating peer to peer interferences, performed by a network system (10), comprising steps of:

determining the redundant code group information, according to the code group

usage information on the cell on which two User Equipments attempting to establish peer to peer link camp and its adjacent cells (paragraphs [0020]-[0021]);

selecting a scrambling code from the redundant code group information and assigning it to the two User Equipments, so that the two User Equipments can perform scrambling operations on peer to peer signals to be transferred between the two User Equipments by using the scrambling code (paragraphs [0021], [0026])". The subject-matter of claim 1 is therefore not new (Article 33(2) PCT).

- 3.2 Independent system claim 11 describes a system that performs the method described in the independent claim 1. Its subject-matter is, for the same reasons explained above, not new (Article 33(2) PCT).
- 3.3 The additional features of dependent claims 2,5,6,12 and 15 are also known from D1. In particular:
  - claims 2,5,12,15: see D1, paragraph [0025];
  - claim 6: see D1, paragraph [0023]; -

The subject-matter of claims 2,5,6,12 and 15 is therefore not new (Article 33(2) PCT).

- The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of claims 3,4,7-10,13,14,16-19 does not involve an inventive step in the sense of Article 33(3) PCT.
- 4.1 Independent method claim 7 describes the method performed by the User Equipment according to the one described in claim 1 for the network system. Together with the features of independent claim 1, it is mentioned that the User Equipment reads the code group usage information of the adjacent cells through adjacent cell search procedure and then reports it to the network system. This feature is described in document D2 (paragraphs [0007]=[0010]) as having the same effect as in the present application (namely, the information about the available channels (codes) in the neighbouring cells). The skilled person would therefore regard it as a normal option to include this feature in the method described in document D1 in order to solve the problem posed.
- 4.2 Independent apparatus claim 16 describes the User Equipment able to perform the

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (SEPARATE SHEET)

International application No.

PCT/IB2004/052317

method described in the independent claim 7. Its subject-matter is, for the same reasons explained above, not inventive (Article 33(3) PCT).

- 4.3 The additional features of dependent claims 3,4,8-10,13,14,17-19 are also not inventive. In particular:
  - claims 3,4,8,13,14,17: according to the results of the monitoring of the adjacent cells transmitted to the network system by the User Equipments, the determination of the available channels for peer to peer communication (i.e. the redundant code group information) is done. The use of the result of this adjacent cell monitoring for channel assignment purposes is a normal design procedure that comes within the normal practice of the person skilled in the art.
  - claims 9,10,18,19; these claims refer to obvious design features.